Suggestions from the Medical Products Agency on the proposal for revision 3 of the Detailed guidance for the request for authorisation of a clinical trial on a medicinal product for human use to the competent authorities, notification of substantial amendments and declaration of the end of the trial:

Existing proposal	Proposal by the Medical Products Agency	Comments
1.2 Scope:	1.2 Scope:	Туро
Herbal medicinal products as defined in Article 1	Herbal medicinal products as defined in Article 1 (30) of	
(3) of Directive 2001/83/EC	Directive 2001/83/EC	
2.1.2 Applicable delays for authorisation, tacit	2.1.2 Applicable delays for authorisation, tacit authorisation:	Clarification
authorisation:	However, Article 9 (5) and (6) of Directive 2001/20/EC set	
However, Article 9 (5) and (6) of Directive	out important exceptions to this general rule concerning	
2001/20/EC set out important exceptions to this	medicinal products of biological/biotechnological origin	
general rule		
2.2 Covering letter:	2.2 Covering letter:	Clarification
a) the trial population	a) the trial population, e.g. patients not able to give legal	
	informed consent	
2.2 Covering letter:	2.2 Covering letter:	Relevance
(c) IMPs and non-IMPs, such as GMOs,	(c) IMPs and non-IMPs, drawing attention to unknown or	
radiopharmaceuticals, narcotics and	anticipated safety issues	
psychotropics		
2.2 Covering letter:	2.2 Covering letter:	Reference safety information is the
The applicant shall set out precisely in the cover	The applicant shall set out precisely in the cover letter where	term used in ICH E2F
letter where the reference information is contained	the reference safety information is contained as regards the	
as regards the assessment whether an adverse	assessment whether an adverse reaction is a suspected	
reaction is a suspected unexpected serious adverse	unexpected serious adverse reaction ("SUSAR") as defined	
reaction ("SUSAR") as defined in Directive	in Directive 2001/20/EC and implementing Community	
2001/20/EC and implementing Community	guidelines.	
guidelines.		
2.5 Protocol:	2.5 Protocol:	Clarification
A justification for including subjects who are	A justification for including subjects who are incapable of	
incapable of giving informed consent or other	giving informed consent or other special populations such as	
special populations	minors	

2.6 Investigator's Brochure	2.6 Investigator's Brochure	Adaptation to ICH E2F
The current IB or equivalent document (e.g. SmPC	The current IB or equivalent document (e.g. SmPC for	11000 000000000000000000000000000000000
for marketed products) will be the reference	marketed products) should contain the reference safety	
document for the assessment of the expectedness of	information document for the assessment of the expectedness	
any adverse reaction that might occur during the	of any adverse reaction that might occur during the clinical	
clinical trial.	trial.	
2.7.1 Quality data:	2.7.1 Quality data:	Additional requirements are
• certification by the qualified person that the	• certification by the qualified person (QP) that the	considered necessary in some cases
manufacturing complies with good	manufacturing complies with good manufacturing	Туро
manufacturing practices ("GMP") at least	practices ("GMP") at least equivalent to the GMP in	
equivalent to the GMP in the Community;	the Community;	
• certification of the CMP compliance of the	 certification of the GMP compliance of the 	
manufacturing of any active biological	manufacturing of any active biological substance.	
substance.	If the national competent authority finds the QP declaration	
	insufficient, a copy of the relevant audit report may be	
	requested. The national competent authority may also ask for	
	verification by an EU inspection if the IMP is imported to EU	
	from a non-ICH country.	
3.3.1. Amendments as regards the clinical trials	3.3.1. Amendments as regards the clinical trials protocol:	New example of protocol-related
protocol:	Major changes which affect the study design or have an	substantial amendment
	impact on the conduct of the study and/or planned primary	
	and/or major secondary statistical analyses	
3.3.3. Amendments as regards other initial	3.3.3. Amendments as regards other initial scientific	To be consistent with ICH E2F
scientific documents supporting the Request for	documents supporting the Request for authorisation of the	To clarify that either a change in the
authorisation of the clinical trial:	clinical trial:	reference safety information or a
Any change to the IB that alters the product safety	Any change to the IB that alters the reference safety	change in the safety monitoring
profile and safety monitoring arrangements.	information or safety monitoring arrangements.	arrangements constitute a substantial
		amendment (both are not
		simultaneously required)
4.1. Legal Basis and Scope:	4.1. Legal Basis and Scope:	To clarify that a decision to prolong
"End of the trial" is not defined in Directive	"End of the trial" is not defined in Directive 2001/20/EC. The	the follow-up of patients in a clinical
2001/20/EC. The definition of the end of the trial	definition of the end of the trial should be provided in the	trial should be notified as substantial
should be provided in the protocol and any change	protocol and any change to this definition for whatever	amendment
to this definition for whatever reason should be	reason should be notified as a substantial amendment even if	

notified as a substantial amendment.	the trial has already been declared ended.	
4.2.2. Shortened deadline for early	4.2.2. Shortened deadline for early termination/premature	For consistency between temporary
termination/premature end:	end:	halt and premature end. The decision
"Premature end" is considered as "early	"Premature end" is considered as "early termination".	not to recommence the trial can be
termination".	Premature end should be notified even if patients continue to	made as early as the decision to halt
	be followed-up for safety reasons.	the trial. The possibility should be
		considered to indicate the end of
		follow-up of patients followed-up for
		safety reasons.