

## **Risk-based assessment in cancer trials** **conducted by the EORTC**

It is of critical importance for European clinical research that cancer patients of member states benefit from any new treatment that could potentially increase their life expectancy or improve quality of life.

However, it is essential to quantify the potential risks associated with patient participation into a clinical trial as compared to their risks when treated with the standard of care in the same indication, in terms of safety, rights, and privacy.

The EORTC is currently developing a risk based scoring system in order to evaluate and quantify the risk level of the patient's involvement into a new clinical trial coordinated by the EORTC. This will involve developing optimal strategies for quality management, including extent of on-site monitoring, accelerated data tracking and processing, and central data monitoring.

The EORTC initial results derived from the scoring sheet attached suggest the identification of three levels of risks (high, moderate, and low).

Based on nearly 50 years of experience in Cancer clinical trials, the EORTC has an extensive clinical trials database that will serve for additional testing and validation of the scoring sheet.

# EORTC Study Risk Assessment Scoring Sheet under evaluation at EORTC

The global risk score evaluated for a given study will be the sum of all individual scores associated to the following criteria.

| item     | Risk categories / risk score       | 4                            | 3                 | 2  | 1  | 0               |
|----------|------------------------------------|------------------------------|-------------------|--|--|-----------------|
| <b>A</b> | <b>Study characteristics</b>       |                              |                   |  |  |                 |
| 1        | Phase                              | Phase I                      | phase II          | Randomized phase II                        | Phase III  | Survey          |
| 2        | Blind type                         | Double-blind                 | Single blind      |  | Open label                                       |                 |
| 3        | Patient's characteristics          | Children                     | Elderly           |  | 18-70  |                 |
| <b>B</b> | <b>Treatment</b>                   |                              |                   |  |  |                 |
| 4        | Surgery (x2)*                      | Investigational              |                   | standard                                   |  | No surgery      |
| 5        | Radiotherapy (x2)*                 | Investigational              |                   | standard                                   |  | No radiotherapy |
| 6        | Drug administration                | IV                           | IM / SC           | Oral                                       |  | No drug         |
| 7        | Combination of drugs               | ≥ 3                          | 2                 | 1  |  | 0               |
| 8        | IMP licensing status (x2)*         | Not licensed                 |                   | Licensed in other tumor type               | Licensed in study tumor type at other dose/route | NA              |
| 9        | IMP safety data                    | No clinical data available   | Phase 1 data only | Phase 2 data                               | Phase 3 data                                     | NA              |
| 10       | Pharmacological class agent (x2)*  | Unknown (new class of agent) |                   | Known (similar agents under investigation) | Well known (similar agents licensed)             | NA              |
| <b>C</b> | <b>Safety</b>                      |                              |                   |  |  |                 |
| 11       | Expected related SAEs cases (x2)*  | > 20 % of pats               | > 10 % of pats    | > 5% of pats                               | > 2% of pats                                     | ≤ 2% of pats    |
| 12       | Statistical analysis (for phase 2) | Final analysis only          |                   |  | Interim analysis: 2-step design                  | NA              |
| 13       | Statistical analysis (for phase 3) | Final analysis only          |                   | Interim analysis                           | IDMC   | NA              |

(x2)\*: the risk score associated to these criteria is doubled