



# 3rd Health Programme 2014-2020

## Financial Instrument options

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European Commission  
Consumers, Health, Agriculture and Food Executive Agency (Chafea)

## Overview

- Instruments and their major differences
- Comparing...
  - Project Grants
  - Service Contracts (Call for Tender, Procurement)
- Conclusion

*Instruments, their major differences*

# FINANCING INSTRUMENTS

# Instruments

Open Calls for Proposals	Direct Grants	Procurement (Service Contracts)
Projects	Actions cofinanced with Member State Authorities ("Joint Actions")	Open call for Tenders
Framework Partnerships for Operating Grants	Direct grants to International Organisations	Requests for services under existing Framework contracts
	Presidency Conferences	Experts for evaluations



*Project Grants, Service Contracts (Call for Tenders)*

# COMPARISON

## Service Contract (Procurement) vs. Project Grant

To acquire a product or a service.	<b>Purpose</b>	To encourage actions indicated in the Work Plan, which fall primarily within the scope of the beneficiary's activities
Call for tender	<b>Procedure</b>	Call for proposals
Service Contract	<b>Legal outcome</b>	Grant Agreement
The EU pays 100 % of the contract price	<b>EU financial contribution</b>	EU-contribution to the overall costs (Co-funding)
Since the service or product has been purchased and paid for by the EU, in general it belongs to the EU in its entirety	<b>Ownership</b>	The ownership as a rule is vested in the beneficiary of the grant.
The operator's remuneration should include an element of profit.	<b>Profit</b>	The grant must not have the purpose or the effect of producing a profit for the beneficiary.



*First...*

# PROJECT GRANT

# Principles

Definition: a grant is a direct financial contribution to an action or functioning of a body

- **Co-funding rule:** external co-financing from a source other than EC funds is required (own resources or financial contributions from third parties, project income)
- **Non-profit rule:** the grant may not have the purpose or effect of producing a profit for the beneficiary
- **Non-retroactivity rule:** only costs incurred after the starting date stipulated in the grant agreement can be co-funded
- **Non-cumulative rule:** only one grant can be awarded for a specific action carried out by a given beneficiary



# Call for Proposals – Project Grants

EUROPA > European Commission > Chafea > Health Programme > Projects

CHAFEA  
Consumers, Health, Agriculture and Food Executive Agency

Home

- Health Programme
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  - Operating grants
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  - Managing grant agreements & contracts
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- Consumer Programme
- Better Training for Safer Food
- Promotion of agricultural products
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Third Programme of the Union's action in the field of health (2014-2020)

Call for projects 2018 now open

Fact sheet PDF

Overview

Following up on the adoption of the [2018 Work Programme](#) of the Third Programme for the Union's action in the field of Health (2014-2020) Chafea launched a call for proposals for projects with the following topics:

- Implementation of best practices - Scaling up integrated care
- Supporting Member States voluntary cooperation in the area of pricing through the Euripid Collaboration
- Orpha codes project

All relevant information is accessible below, on this page.

- How to apply
- Who can apply?
- How much co-funding?
- General principles
- Contact

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RESEARCH & INNOVATION  
Participant Portal

European Commission > Research & Innovation > Participant Portal > Calls

HOME FUNDING OPPORTUNITIES HOW TO PARTICIPATE PROJECTS & RESULTS EXPERTS SUPPORT LOGIN REGISTER

## EU Programmes 2014-2020

Search Topics

Updates

Calls

- H2020
- 3rd Health Programme
- Asylum, Migration and Integration Fund
- Consumer Programme
- COSME
- European Statistics Programme
- Hercule III Programme
- Internal Security Fund - Borders
- Internal Security Fund - Police
- Justice Programme
- Pilot Projects & Preparatory Actions
- Promotion of Agricultural Products
- Research Fund for Coal & Steel
- Rights, Equality and Citizenship Programme
- Union Civil Protection Mechanism

## Calls for Proposals

3rd Health Programme

Advanced search for topics  
Calls for tenders on TED

The [Third EU Health Programme](#) is the main instrument that the Commission uses to implement the EU Health Strategy. Annual work plans of the Programme set out priority areas and the criteria for its funding actions. The total budget for the programme is € 449.4 million. The programme has 4 overarching objectives which seek to:

- Promote health, prevent diseases and foster supportive environments for healthy lifestyles taking into account the 'health in all policies' principle
- Protect Union citizens from serious cross-border health threats
- Contribute to innovative, efficient and sustainable health systems
- Facilitate access to better and safer healthcare for Union citizens

Status  Calls with forthcoming topics  Calls with open topics  Calls with only closed topics

Sort by  Call title  Call identifier  Publication date

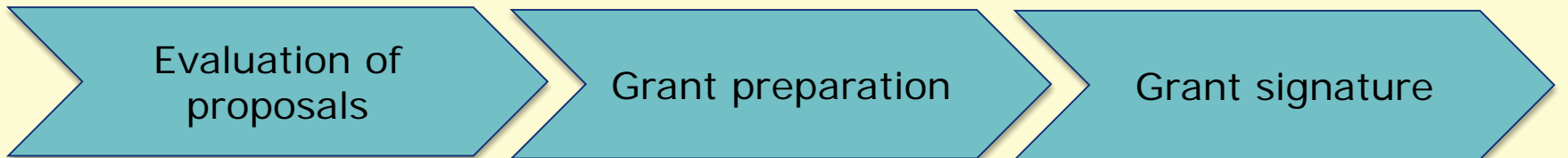
Filter a call FILTER

<p><b>3rd Health Programme</b> Call for Proposals for Projects 2018 HP-PJ-2018</p> <p>Publication date: 25 January 2018</p>	<p><b>3rd Health Programme</b> Call for Proposals for Projects 2017 HP-PJ-2017</p> <p>Publication date: 16 March 2017</p>	<p><b>3rd Health Programme</b> Operating grants 2018-2021 HP-FPA-2017</p> <p>Publication date: 16 March 2017</p>
<p><b>3rd Health Programme</b> Rare diseases - support for New Registries HP-PJ-06-2016</p> <p>Publication date: 21 December 2016</p>	<p><b>3rd Health Programme</b> Call for proposals for Pilot Projects PP-2-2016</p> <p>Publication date: 27 October 2016</p>	<p><b>3rd Health Programme</b> Pilot Project on Chronic Kidney Diseases PP-1-2016</p> <p>Publication date: 31 March 2016</p>

## Applying for funding



## Evaluation & Grant signature



## Grant Management



## Experts opinion



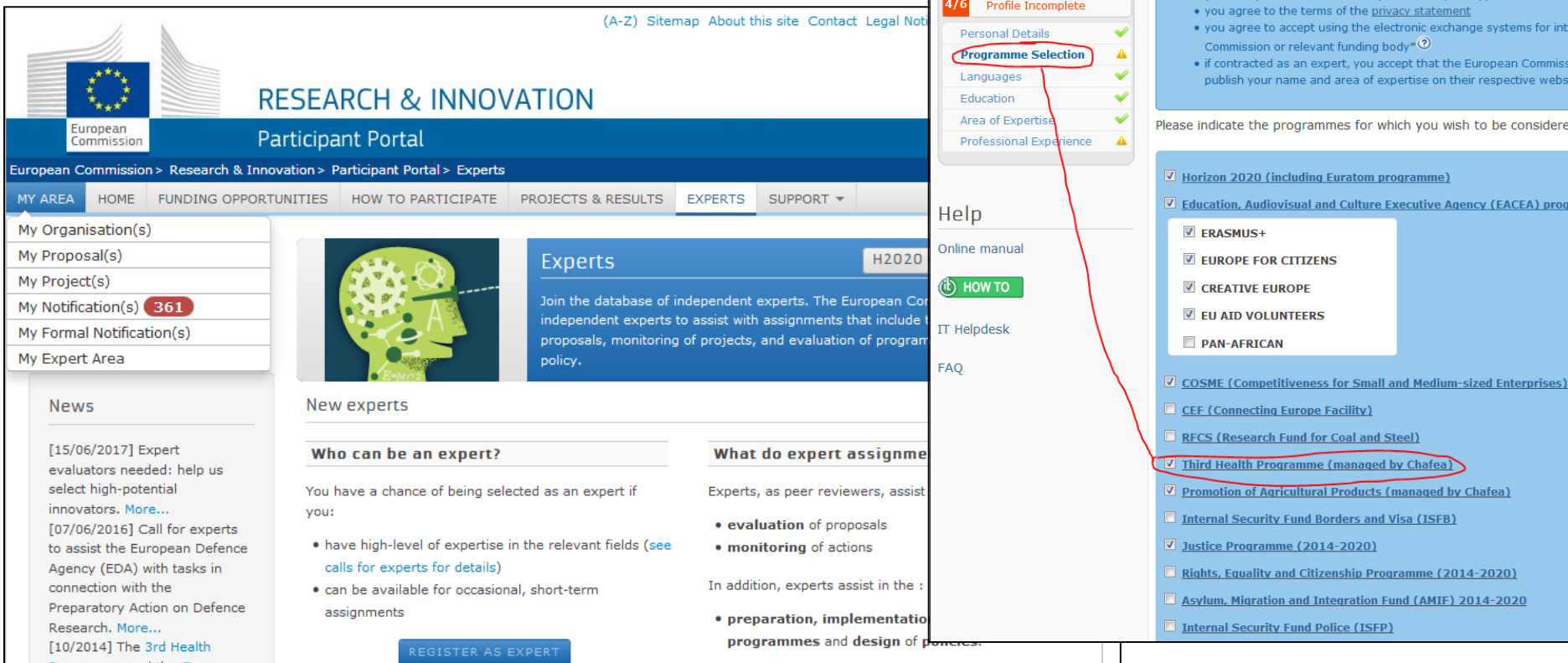
# Structure of the Project Proposal

Administrative  
Part A,  
Includ.  
Overview  
Budget

Technical  
Narrative  
Part B,  
Includ.  
Detailed  
Budget

Audit report

# Evaluation by external Experts



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RESEARCH & INNOVATION  
Participant Portal

European Commission > Research & Innovation > Participant Portal > Experts

MY AREA HOME FUNDING OPPORTUNITIES HOW TO PARTICIPATE PROJECTS & RESULTS EXPERTS SUPPORT

My Organisation(s)  
My Proposal(s)  
My Project(s)  
My Notification(s) **361**  
My Formal Notification(s)  
My Expert Area

News

[15/06/2017] Expert evaluators needed: help us select high-potential innovators. [More...](#)  
[07/06/2016] Call for experts to assist the European Defence Agency (EDA) with tasks in connection with the Preparatory Action on Defence Research. [More...](#)  
[10/2014] The 3rd Health Programme and the Commission

**New experts**

**Who can be an expert?**

You have a chance of being selected as an expert if you:

- have high-level of expertise in the relevant fields ([see calls for experts for details](#))
- can be available for occasional, short-term assignments

[REGISTER AS EXPERT](#)

**What do expert assignments involve?**

Experts, as peer reviewers, assist in the evaluation of proposals, monitoring of actions and design of programmes.

- **evaluation** of proposals
- **monitoring** of actions
- **preparation, implementation** of programmes and design of programmes

4/6 Profile Incomplete

- Personal Details ✓
- Programme Selection** ⚠
- Languages ✓
- Education ✓
- Area of Expertise ✓
- Professional Experience ⚠

Help

Online manual  
[HOW TO](#)  
IT Helpdesk  
FAQ

By selecting any of the programmes below:

- you certify that the information provided in your application is true
- you agree to the terms of the [privacy statement](#)
- you agree to accept using the electronic exchange systems for the Commission or relevant funding body<sup>Ⓜ</sup>
- if contracted as an expert, you accept that the European Commission will publish your name and area of expertise on their respective websites

Please indicate the programmes for which you wish to be considered:

- Horizon 2020 (including Euratom programme)
- Education, Audiovisual and Culture Executive Agency (EACEA) programmes
- ERASMUS+
- EUROPE FOR CITIZENS
- CREATIVE EUROPE
- EU AID VOLUNTEERS
- PAN-AFRICAN
- COSME (Competitiveness for Small and Medium-sized Enterprises)
- CEF (Connecting Europe Facility)
- RFCS (Research Fund for Coal and Steel)
- Third Health Programme (managed by Chafea)**
- Promotion of Agricultural Products (managed by Chafea)
- Internal Security Fund Borders and Visa (ISFB)
- Justice Programme (2014-2020)
- Rights, Equality and Citizenship Programme (2014-2020)
- Asylum, Migration and Integration Fund (AMIF) 2014-2020
- Internal Security Fund Police (ISFP)

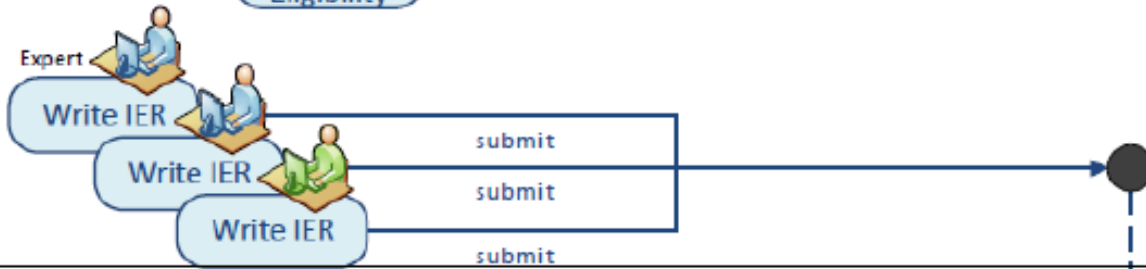
**External Experts for evaluations and technical reviews**

<https://ec.europa.eu/research/participants/portal/desktop/en/experts/index.html>

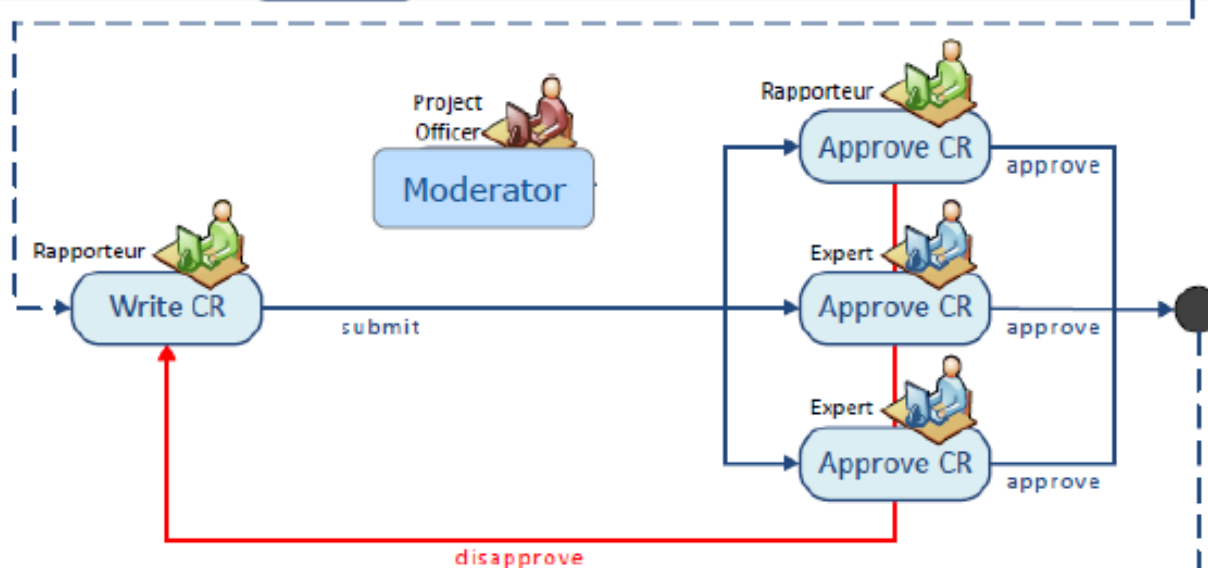
Eligibility



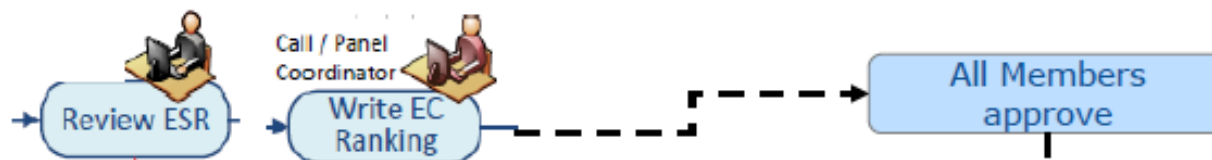
IER



CR



**Consensus Meeting** in Luxembourg with 3 external experts plus the Chafea Project Officer as moderator



**Evaluation Committee meeting** with 4 staff Chafea, 3 staff SANCO, 1 staff RTD, 1 secretary

## Specifics

- Owner of the outcome is the consortium (IPR, consortium agreement obligatory)
- Flexibility of shaping the content in the frame of the call
- Contribution of 60/80% to the real costs, based on the estimated budget, initial pre-financing
- No profit
- Consortium Visual Identity for publications
- At least 3 Organisations from at least 3 EU MS, plus participating countries
- Full electronic lifecycle and project administration



*Call for Tender, Procurement*

# **SERVICE CONTRACT**



European  
Commission

# What is procurement?

EU Purchases - Public Procurement = Call for tenders

**Services**



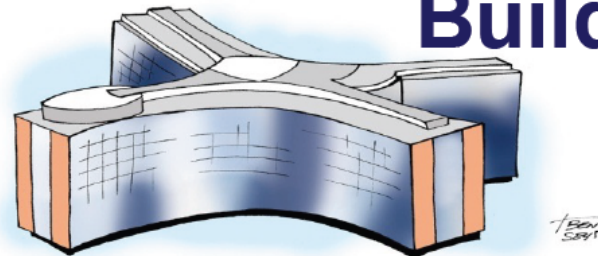
**Supplies**



**Works**

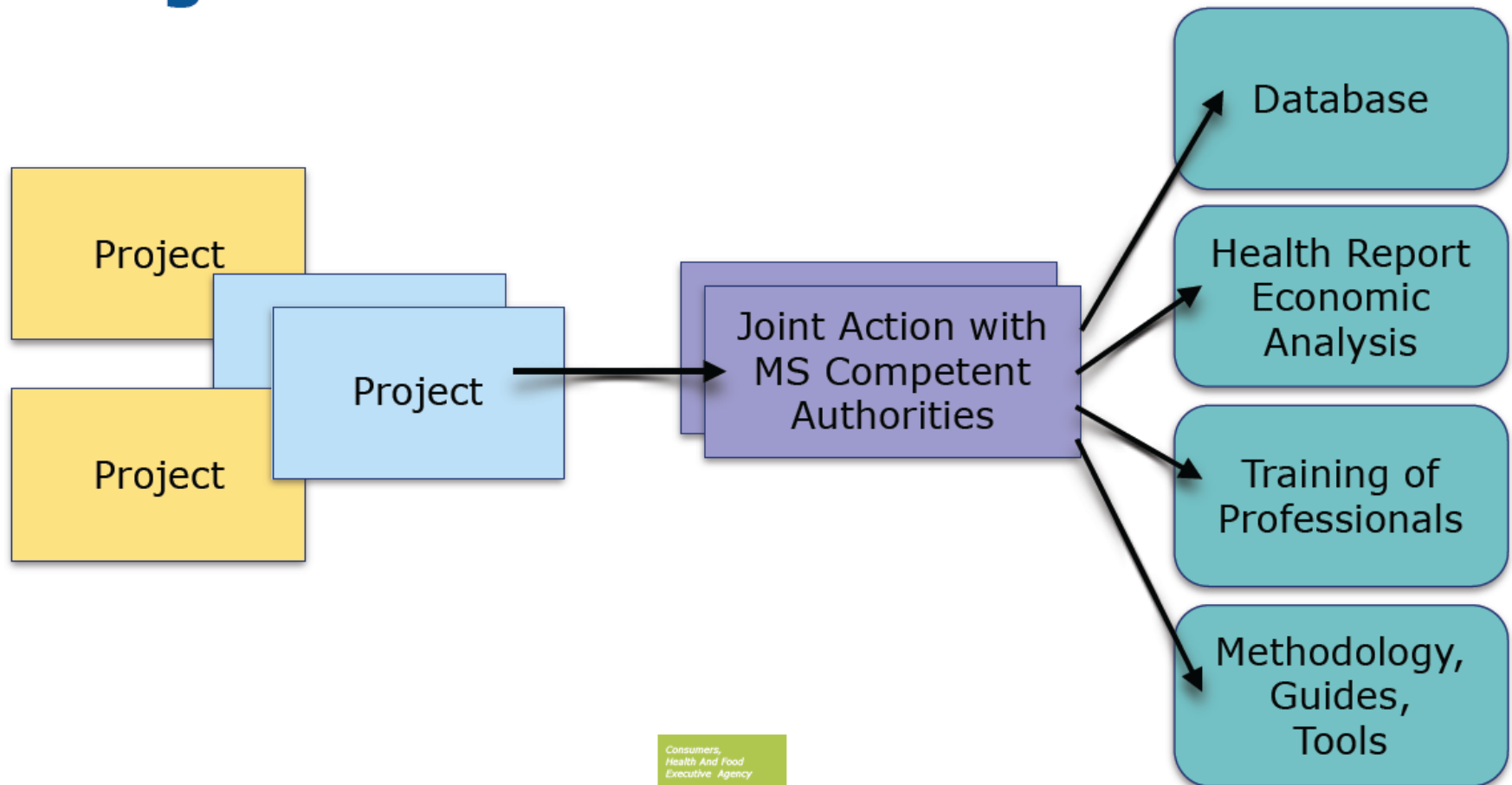


**Building**

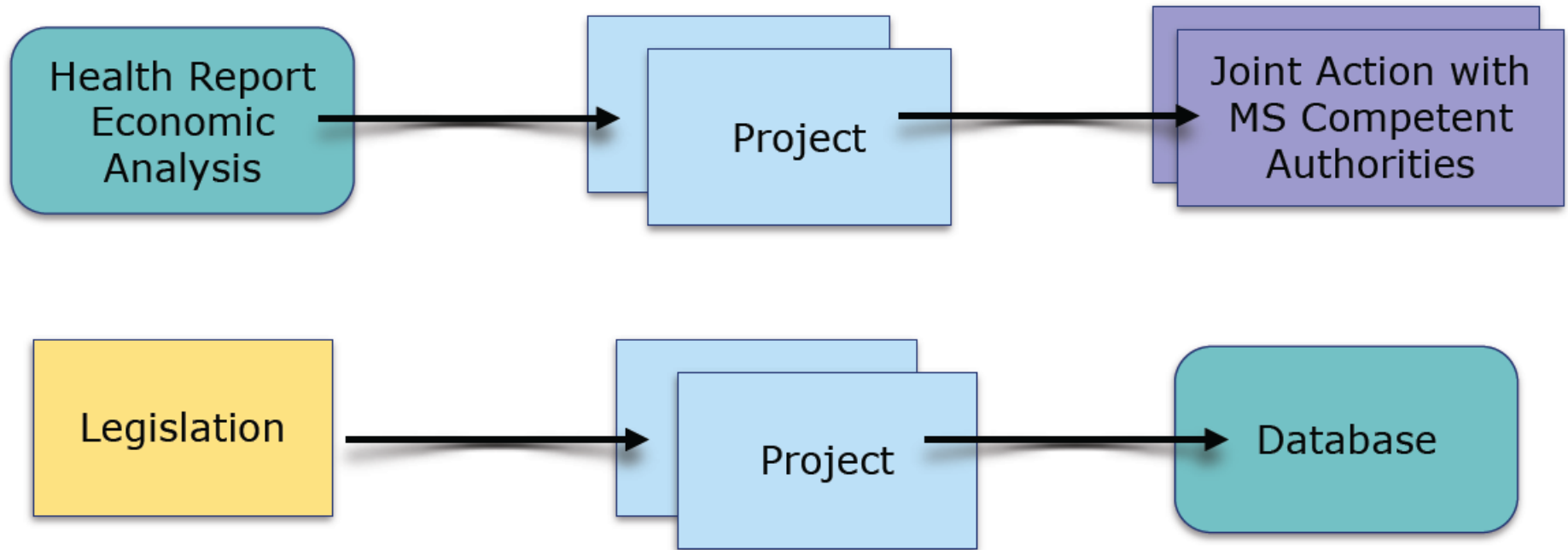




# Why there is procurement in the Health Programme?



# Why there is procurement in the Health Programme?





European Commission

# Example of Service Contracts



European Commission

## State of play in the use of alcoholic beverage labels to inform consumers about health aspects

*Action to prevent and reduce harm from alcohol*

Written by GfK Belgium



Health and Consumers



European Commission

## Eyes on Ages

*A research on alcohol age limit policies in European Member States. Legislation, enforcement and research.*

Tender EAHC/2012/Health/06 Lot 1

"Action to prevent and reduce harm from alcohol"

Tenderer: Dutch Institute for Alcohol Policy (STAP)

Study on the set-up of organ donation and transplantation in the EU Member States, uptake and impact of the EU Action Plan on Organ Donation and Transplantation (2009-2015)

ACTOR study



This study has been financed by the European Union in the frame of the EU Health Programme under the framework Contract N° EAHC/2010/Health/01. It was conducted by the contractor NIVEL.



NIVEL - Netherlands institute for health services research

June 2013

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Disclaimer: This report was produced and funded under the EU Health Programme (2008-2013) in the frame of a specific contract (under Framework Contract N°EHC/2010/Health/01) with the Executive Agency for Health and Consumers (EAHC) acting under the mandate of the European Commission. The content of this report represents the views of the contractor and is its sole responsibility; information and views set out in this study are those of the authors and do not necessarily reflect the official opinion of the European Commission and/or EAHC or any other body of the European Union. The European Commission and/or EAHC do not guarantee the accuracy of the data included in this report. Neither the Commission nor any person acting on the Commission's behalf may be held responsible for the use which may be made of the information contained therein. Reproduction is authorized provided the source is acknowledged (reproduction of the third-party textual or artistic material included in the study is prohibited).

# Procurement principles

- Principle of transparency
- Principle of proportionality
- Principle of equal treatment and non-discrimination



# Call for tenders - Procurement

**CHAFAA**  
Consumers, Health, Agriculture and Food Executive Agency

EUROPA > European Commission > Chafea > Health Programme > Call 2016: Tenders

**Calls for tenders 2016**

[Call for Tenders N°Chafea/2016/Health/34. concerning the study to assessment of the socio-economic impacts of potential, existing and initiatives on HIV/AIDS, viral hepatitis, sexually transmissible infectio tuberculosis](#)

[Call for tenders N° Chafea/2016/Health/06 concerning Contract to provide services to support the ass flavours in tobacco products](#)

**HEALTH PROGRAMME NOTIFICATION**

**Wishing to be informed when calls for tenders are published**

Register to our notification system and receive an email each time is published.

[SUBSCRIBE / UNSUBSCRIBE](#)

**Ted eTendering**  
Calls for tenders from the European institutions

Europa > TED home > eTendering home > Search for call for tenders

TED TED SIMAP TED eNotices TED eTendering

**Search for a call for tenders**

Title or description contains:

Contracting authority:

Procedure type:

**Results :**

Results per page: 10 | 25 | 50  
10 Calls for tenders found, displaying all Calls for tenders.

Tender reference number	Title	Contracting authority
SANTE/2017/C4/040.	Study on the exposure of children to lin...	European Commission, DG Health and Food Safety (SANTE)
OC/EFSA/AMU/2017/01.	Support services for expert knowledge el...	European Food Safety Authority (EFSA)
OC/EFSA/DATA/2017/02.	Support to national dietary surveys in c...	European Food Safety Authority (EFSA)
OC/EFSA/DATA/2017/01.	Support to national dietary surveys in c...	European Food Safety Authority (EFSA)

**Ted eTendering:**  
<https://etendering.ted.europa.eu/cft/cft-search.html>

**Chafea, Calls for tenders, notifications**  
<http://ec.europa.eu/chafea/health/tenders.html>

## 2. Preparation

If during the preparation you have any doubt:

[Chafea-HP-TENDER@ec.europa.eu](mailto:Chafea-HP-TENDER@ec.europa.eu)

### Who can participate?

Natural and legal persons from:

- The 28 Member States of the European Union

Certain non EU countries as follows:

- With special agreement in the field of public procurement with the EU
- Under the Stabilisation and Association Agreements (FYROM, Albania, Montenegro, Serbia, Bosnia and Herzegovina, Kosovo)
- European Economic Area (Norway, Iceland and Liechtenstein)
- GPA Parties (only in case of inter-institutional procurement)

Types of tenderer:

- Single tenderer
- Single Tenderer with Subcontractor
- **Consortium**
- **Consortium with Subcontractor**

# Submission - 3 envelopes

The Tender must include 3 envelopes:

Envelope A:  
**Administrative  
proposal**

(Tender submission  
form  
plus supporting  
documents)

Envelope B:  
**Technical proposal**

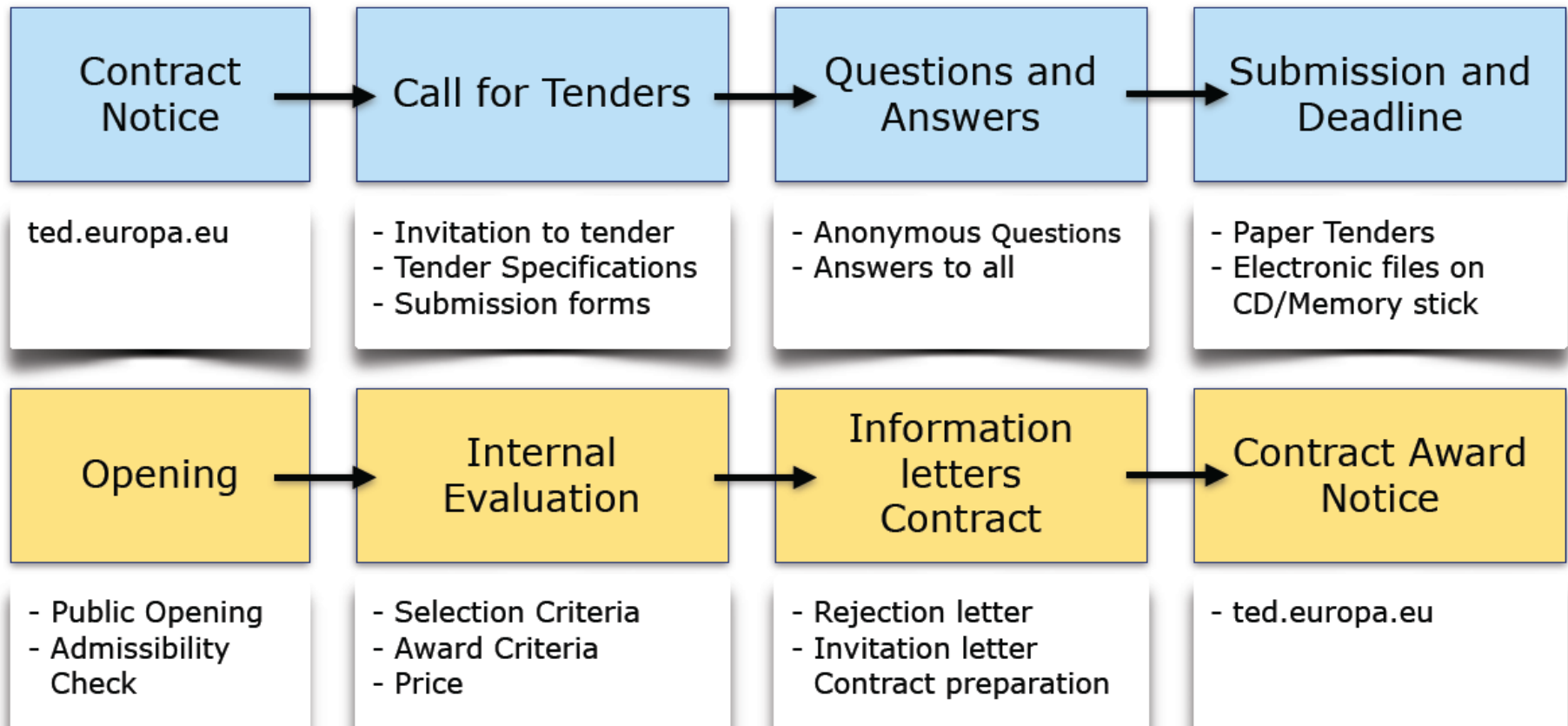
(open narrative)

Envelope C:  
**Financial proposal**

(financial offer form)

The offer has to be sent to Chafea by registered mail or by courier post mail, in a sealed packet containing the 3 envelopes, before the date of deadline.

# Procedure Open Call for Tenders





# Specifics

- Transparent economic operation
- Owner of the outcome is EU/EC
- Tender specifications are "specific", offer must comply in detail
- Pre-existing rights need to be transferable
- Payment of an agreed price against invoice, no prefinancing
- Contractor can make profit/loss
- European Commission Visual Identity for publications
- 1 up to many partners, also
- Paper submission, Paper contract, paper invoice.



European  
Commission

# CONCLUSION

# Conclusion

- Similarities:
  - **Public competition**
  - **Selection and Award Criteria are published**
- Differences
  - **Content flexibility**
  - **Financial principle (Contribution vs. Price)**
  - **Intellectual Property Rights (Owner of the product)**
  - **Consortium structure and agreements**
  - **Electronic vs. Paper submission and contracting**
  - **External vs. Internal Evaluation**

## Further information

### Website:

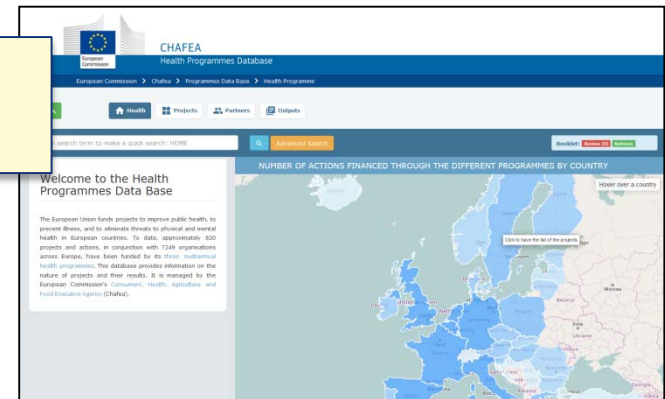
<http://ec.europa.eu/chafea/health/>

### Call for proposals: EC Participant Portal:

<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/3hp/>

### Project Database (2003-2017):

[https://webgate.ec.europa.eu/chafea\\_pdb/health/](https://webgate.ec.europa.eu/chafea_pdb/health/)





# Thank you!

## Your questions?

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