

Joint Plenary Meeting of the

SCIENTIFIC COMMITTEES ON CONSUMER SAFETY (SCCS), HEALTH AND ENVIRONMENTAL RISKS (SCHER) AND EMERGING AND NEWLY IDENTIFIED HEALTH RISKS (SCENIHR)

Independence, Declarations of Interest and Confidentiality

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- * 1. Background
- 2. Independence
- **3.** Declarations of Interest
- **4.** Transparency
- **❖ 5. Confidentiality**



1. BACKGROUND:

Commission Decision 2008/721/EC, sets up the Advisory structure on scientific risk assessment areas for consumer safety, public health and the environment.

Provisions are set for the principles of:

- Independence (Art. 15)
- Transparency (Art. 16)
- Confidentiality (Art. 17)



2. INDEPENDENCE:

- Essential component ensuring high quality /reliability of scientific advice.
- Central principle together with excellence and transparency.
- Key requisite to empower public's trust and confidence.
- DoIs / CoIs (conflicts of interest) of members of scientific advisory bodies scrutinised by civil society, stakeholders, EP, NGOs...
- Zero tolerance approach to possible CoIs → driving force of some civil society organisations.



- Perception of independence is as important as the independence itself, but subjective.
- Mainly challenged in sensitive areas.
- Declaring an interest does not automatically imply a conflict of interest
- Policies on independence/rules of procedure constantly improved to respond to the increasing public demand for openness and transparency.
- Need to balance access to best scientific expertise versus need to prevent any CoIs.



3. DECLARATIONS

3.1 The Declarations of Interest (DoIs)

Why this tool?

- Scientific Advisory structures increasingly criticized on independence
- Research policies encourage public-private partnerships, innovation, knowledge transfer
- Experts have unavoidable links with industry
- Important to control potential CoIs
- Important to differentiate interests and CoIs
- DoI is only one of the tools of independence
- DoIs allows to ensure that, even if there is an interest, CoI will not occur

How does it work?

DoI allows for:

- Collecting interests
- Screening Interests
- Deciding on participation
- Public screening





When collecting interests?

- Nomination to the SCs and their WG (Annual DoIs)
- Before WG (Specific DoIs)
- At each meeting first point of the agenda (Oral DoIs)

What to declare →

- Ownership of shares/investments
- Membership in Management Body/equivalent structure
- Membership in another Scientific Body
- Employment
- Consultancy/Advice
- Research
- Intellectual rights
- Other membership or affiliation
- Interest of close family members
- Others





Screening of interests → avoiding CoIs

- Depending on interest declared+ group's mandate + experts' role (chair/rapporteur, drafting) → full/limited/no participation allowed → decision recorded
- Not allowed to review / assess own work

Consequences of a non-declared Interest?

- Breach of trust procedure
- Commission takes any action necessary, including dismissal of expert(s) concerned



3.2 Declaration of Commitment are required to ensure in particular that experts:

- act in the public interest (independent!)
- declare any direct or indirect interests prejudicial to independence
- comply with SCs' rules on DoIs and Independence



3.3 Other mechanisms contributing to independence:

- Collegiality: scientific opinions adopted by college of independent scientists (avoiding any individual-led process).
- Inter-disciplinarity and multi-disciplinarity: all scientific aspects taken into account during preparation / finalisation of scientific advice.
- Absence of hierarchical links between experts.
- Decisions adopted by majority.
- Minority opinions recorded, with scientific reasoning.



4. TRANSPARENCY

- Key element in ensuring independence.
- Acts as a safeguard tool → public scrutiny.
- Article 16 of COM. Decision 2008/728/EC → activities carried out with a high level of transparency:
- a) Publication of all relevant documents concerning RA activity with undue delay

(e.g. requests, agenda, minutes, opinions; minority opinions; DoIs; etc.)

b) Transparency rules applied in accordance with the requirements of Reg. 45/2001/EC (personal data protection) and Reg. 1049/2001 (access to docs).



5. CONFIDENTIALITY

"Members of the SCs, scientific advisors, external experts and trainees shall not divulge information acquired as a result of the work of the SCs, thematic workshops, working groups or other activities related to the application of Decision (2008/721), when they are informed that it is confidential."

All participants and observers of the work of the SCs are to respect the confidentiality during the preparation of the opinion.

Declaration concerning confidentiality \rightarrow to be filled in.



Conclusions

- DoI/CoI is based on experts' information
- Importance of transparency
- Collegiate way of Committees' work guarantees independence
- Rules adapted to the increasing demands and levels of scrutiny
- Breach of trust: importance of remedial actions
- Balance scientific expertise with prevention of CoIs